

# Xtrackers

Investment Company with Variable Capital  
Registered office: 49, avenue J.F. Kennedy, L-1855 Luxembourg,  
R.C.S. Luxembourg B-119.899  
(the “Company”)

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**Important Notice to Shareholders of  
Xtrackers CSI300 Swap UCITS ETF**

**1D (ISIN: LU0432553047)**

**2D (ISIN: LU0455008887)**

**3D (ISIN: LU0455008960)**

**1C (ISIN: LU0779800910)**

**Xtrackers Harvest CSI300 UCITS ETF**

**1D (ISIN: LU0875160326)**

**3D – USD Hedged (ISIN: LU1303497652)**

**4D – EUR Hedged (ISIN: LU1303497736)**

(the “Sub-Funds” and each a “Sub-Fund”)

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**18 February 2021**

Dear Shareholder,

This letter is to inform you on behalf of the board of directors of the Company (the “**Board of Directors**”) about certain changes made by China Securities Index Co., Ltd (the “**Index Administrator**”) to the Reference Index of each Sub-Fund of the Company, as outlined below. The changes took effect from 14 December 2020 (the “**Effective Date**”).

Prior to the Effective Date, the selection universe of the Reference Index (the “**Selection Universe**”) included all the A shares (each a “**Stock**”) listed on the Shanghai Stock Exchange or the Shenzhen Stock Exchange satisfying the following conditions:

1. (a) If the Stock is not listed on the ChiNext, such Stock has been listed for more than three months at the time it is considered for inclusion in the Reference Index. If it has been listed for less than three months, it will be considered for inclusion in the Selection Universe if its daily average total market value since its initial listing has ranked within the top 30 companies in all the A shares;  
(b) If the Stock is listed on the ChiNext, such Stock has been listed for more than three years at the time it is considered for inclusion in the Reference Index;
2. The Stock is not designated for special treatment or potential delisting by any of CSRC, the Shanghai Stock Exchange or the Shenzhen Stock Exchange as a result of continuous financial losses;
3. The company issuing the Stock has good performance without serious financial problems or any history of breach of laws and/or regulations in the most recent year.

With effect from the Effective Date, the Selection Universe of the Reference Index includes all A shares and Chinese Depositary Receipts (CDRs) issued by Red-Chip companies<sup>1</sup> (each a

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<sup>1</sup> Red-Chips are securities of companies incorporated outside mainland China that trade on the Hong Kong stock exchange and that are substantially owned directly or indirectly by mainland Chinese state entities, with the majority of revenue or assets derived from mainland China. They are quoted and traded in Hong Kong dollars.

"Security") listed on the Shanghai Stock Exchange or the Shenzhen Stock Exchange satisfying the following conditions:

1. (a) If the Security is not listed on the ChiNext or Science and Technology Innovation Board, such Security has been listed for more than three months at the time it is considered for inclusion in the Reference Index. If it has been listed for less than three months, it will be considered for inclusion in the Selection Universe if its daily average total market value since its initial listing has ranked within the top 30 companies in all the securities;  
(b) If the Security is listed on the ChiNext, such Security has been listed for more than three years at the time it is considered for inclusion in the Reference Index;  
(c) If the Security is listed on the Science and Technology Innovation Board, such Security has been listed for more than one year at the time it is considered for inclusion in the Reference Index;
2. The Security is not designated for special treatment or potential delisting by any of CSRC, the Shanghai Stock Exchange or the Shenzhen Stock Exchange as a result of continuous financial losses;
3. The company issuing the Security has good performance without serious financial problems or any history of breach of laws and/or regulations in the most recent year.

As a result of the foregoing, the Board of Directors has decided to update the Sub-Fund's Product Annex to reflect the changes in the section 'General Description of the Reference Index'. The Product Annex shall be updated in due course.

Apart from these changes, the methodology of the Reference Index remains the same in all material respects.

Further information on the Reference Index can be found on the CSI website (<http://www.csindex.com.cn>), the Shanghai Stock Exchange website (<http://www.sse.com.cn>) and the Shenzhen Stock Exchange Website (<http://www.szse.cn>)

#### *Impact of the Changes*

For the avoidance of doubt, each Sub-Fund's name, investment policy, risk profile and fees remain unchanged.

#### *General Information*

Further information in relation to the changes set out herein may be obtained from the offices of foreign representatives or by sending an email to [Xtrackers@dws.com](mailto:Xtrackers@dws.com).

Copies of the revised Prospectus and the key investor information documents' of the Sub-Fund reflecting the changes will be made available on the website of the Company ([www.Xtrackers.com](http://www.Xtrackers.com)), and copies thereof may be obtained on request free of charge at the registered office of the Company or at the offices of foreign representatives, once available.

Capitalised terms not defined herein shall have the meaning given to them in the Prospectus of the Company unless the context otherwise requires.

If you have any queries or if any of the above is not clear you should seek advice from your stockbroker, bank manager, legal adviser, accountant or other independent financial advisor.

Xtrackers  
The Board of Directors

**Contact Information**

Xtrackers

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