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Publication pursuant to SFDR - Summary

Xtrackers US Equity Enhanced Active UCITS ETF

This financial product promotes environmental and social characteristics and qualifies as product in accordance with article 8(1) of Regulation (EU) 2019/2088.

Capitalised terms used in this document shall have the same meaning ascribed to them in the latest version of the prospectus of the Company (the "Prospectus"), unless the context otherwise requires. This disclosure document is provided in summary form, for more detailed information please see the Prospectus or the document titled "*Publication pursuant to SFDR – Details*", available on the financial product's webpage on www.Xtrackers.com.

No sustainable investment objective

This financial product promotes environmental or social characteristics, but does not have as its objective sustainable investment.

The financial product commits to partially invest in sustainable investments. Investments that fail to meet DWS' do no significant harm ("DNSH") thresholds will not be considered towards the sustainable investment share of the financial product, which includes, but is not limited to, involvement in harmful business activities, violation of international norms or involvement in very severe controversies, and violation of certain principal adverse indicator thresholds.

As part of the DNSH assessment, the financial product includes criteria to reduce exposure to or to exclude securities which are negatively aligned with certain principal adverse indicators, as defined in the pre-contractual disclosure for the financial product.

Any securities violating the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights are excluded by the financial product.

Environmental or social characteristics of the financial product

The financial product promotes environmental and social characteristics and qualifies as a financial product subject to Article 8(1) SFDR through the screening of potential securities against an ESG database, where companies which breach the following ESG standards, among others, will be excluded from the investment universe: (i) are assigned an MSCI ESG Rating of 'CCC'; (ii) Fail to comply with UNGC principles or have a MSCI Controversies Score of 0; (iii) have any involvement in controversial weapons; (iv) are classified by MSCI as breaching certain revenue thresholds in civilian firearms and certain controversial activities; and (v) are classified by the Urgewald Global Coal Exit List (GCEL) as having any involvement in coal power expansion projects or coal mining expansion projects.

Investment strategy

The investment objective of the financial product is to achieve mid to long-term capital appreciation in excess of a benchmark representing the shares of large capitalisation companies in the US. The financial product is managed using an active approach and the Discretionary Investment Advisor will select the Proposed Allocation using a dynamic bottom-up stock selection strategy with the primary aim of generating capital appreciation. In order to achieve the investment objective, the Discretionary Investment Advisor will consider multiple quantitative categories of fundamental and price-driven stock characteristics to determine a scoring model for each of the securities in the Investment Universe. The categories include, but are not limited to: valuation, financial strength, technical indicators, analyst sentiment, and earnings growth. The categories are constantly reviewed for their contribution to overall performance and are dynamically weighted within the scoring model as a result. The scores are implemented in the final allocation using a risk-controlled approach. The Sub-Portfolio Manager will assess the Proposed Allocation with regards to certain liquidity criteria, tradability of securities and portfolio diversification and certain environmental, social and governance criteria as described above.

Proportion of investments

This financial product invests at least 80% of its net assets in investments that are aligned with the promoted environmental and social characteristics. Within this category, at least 1% of the financial product's assets qualify as sustainable investments in the sense of article 2(17) SFDR. Up to 20% of the investments are not aligned with these environmental or social characteristics. A more detailed description of the specific asset allocation of this financial product can be found in the Prospectus.

Financial derivative instruments may be used for efficient portfolio management purposes as ancillary investments.

Monitoring of environmental or social characteristics

For the purpose of the investment guideline monitoring, a coding process is established in which the investment policy as described in the prospectus and the investment limits contained therein are coded in accordance into the investment management system. This applies in particular to the respective ESG investment limits. The investment limits are monitored daily pre- and post-trade in the investment management system to ensure compliance with the investment guidelines. In pre-trade monitoring, it is ensured that the investment limits are complied with before trading. However, if a breach has been detected, the breach will be investigated for its cause and scope, addressed and corrected in accordance with legal/regulatory requirements and guidelines.

The Proposed Allocation will be provided by the Allocation Agent on each Asset Selection Date or at other times where the Allocation Agent wishes to update the Proposed Allocation based on economic and/or other indicators. While the financial product seeks to ensure compliance with the above ESG standards at each Asset Selection Date or review date, between these dates, securities which no longer meet these criteria may remain included in the portfolio of the financial product until it is possible and practicable to divest such positions.

Methodologies

The attainment of the promoted environmental and social characteristics promoted by the financial product is measured using the following sustainability indicators:

- Exposure to Very Severe Controversies
- Exposure to Worst-in-Class issuers
- Controversial Weapons Involvement
- Tobacco Production
- Oil Sands Extraction

More details on these sustainability indicators are available in the Prospectus.

Data sources and processing

The financial product screens potential securities against an ESG database, where companies which breach certain ESG standards will be excluded from the investment universe.

The financial product utilises data from Uргewald and MSCI to apply certain ESG criteria using the following products: GCEL, MSCI ESG Ratings, MSCI ESG Controversies, and MSCI ESG Business Involvement Screening Research.

Limitations to methodologies and data

DWS bases its ESG assessments on external vendor data, which includes both quantitative (e.g. carbon intensities or share of sustainable activity) and qualitative data (e.g. ESG assessments or assessment of possible violations of international norms). However, potential expert-based subjectivity is more significant in qualitative measures than in quantitative ones. For instance, qualitative measures like general purpose ESG assessments may inherently carry subjectivity, while quantitative measures may involve estimations or be based on certain assumption (e.g. determining the share of revenues from the share of produced energy). The prevalence of potential subjectivity poses a limitation that may need proper mitigation.

Furthermore, there is a risk associated with ESG investments, as they may underperform the broad market. As ESG information obtained from third-party data providers may be incomplete, inaccurate, or unavailable, there is a risk that the Investment Manager may incorrectly

assess a security or issuer, leading to the incorrect inclusion or exclusion of a security in the portfolio of the Sub-Fund.

Due diligence

The financial product's ESG criteria are determined in accordance with relevant internal policies, key operating documents and handbooks. Security-level due diligence is based on the availability of ESG data which the Investment Manager sources from external ESG data vendors.

Engagement policies

Active engagement with our investee issuers, using proxy voting and engagement to drive change for the benefit of clients is a key part of DWS Group's approach to sustainable investment. DWS applies an Engagement Policy and Corporate Governance & Proxy Voting Policy.

Designated reference benchmark

The financial product has not designated a reference benchmark.

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